

P19945.A05



DT06 Rec'd PCT/PTO 30 SEP 2002

3600

3627

Receipt
PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jae Yeon AHN

Office of Initial Patent Examination's
Customer Service Center

Serial No : 09/622,904

Filed : September 5, 2000

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

**REQUEST FOR CORRECTION OF FILING RECEIPT
AND OF NOTICE OF ACCEPTANCE OF APPLICATION**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

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OCT 17 2002
GROUP 3600

The filing date of the above-identified application, as it appears on the Official Filing Receipt and on the Notice of Acceptance of Application, is incorrect. The filing date should read **October 23, 2000**, not October 23, 2001 as it appears on the Filing Receipt and Notice of Acceptance of Application. Enclosed is a copy of our date-stamped mailroom filing receipt evidencing the filing of the declaration for above-identified application on October 23, 2000, as well as a copy of the Decision on Petition Under 37 C.F.R. 1.181 received from the U.S. Patent and Trademark Office, stating the correct date in which all U.S. requirements were met and the correct filing date of October 23, 2000. Also attached, please find a copy of the incorrect Official Filing Receipt and Notice of Acceptance of Application with the requested change noted thereon.

P19945.A05

Please correct the filing date of the above-identified application to read as follows:

October 23, 2000

instead of:

October 23, 2001

and forward a corrected copy of the Filing Receipt and Notice of Acceptance of Application to the undersigned.

Respectfully submitted,
Jae Yeon AHN

Will. H. Lytle Reg. No.
Bruce H. Bernstein 41,568
Reg. No. 29,027

September 25, 2002
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

GREENBLUM & BERNSTEIN, P.L.C.
Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

 **COPY**

10/20/2000

File In: PCT

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- | | |
|--|---|
| <input type="checkbox"/> Amendment _____ | <input type="checkbox"/> Claim of Priority & Certified Copy of _____ |
| <input type="checkbox"/> Executed Assignment and cover letter <input type="checkbox"/> by facsimile | |
| <input checked="" type="checkbox"/> Executed S.E.S. <input type="checkbox"/> by facsimile | <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Supplemental |
| <input type="checkbox"/> Req. for Ext. of Time | <input checked="" type="checkbox"/> New <input type="checkbox"/> Unexecuted |
| <input checked="" type="checkbox"/> Fee Filing <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Executed by facsimile |
| Recording <input type="checkbox"/> | |
| Issue <input type="checkbox"/> | <input checked="" type="checkbox"/> Transmittal Letter |
| Extension <input type="checkbox"/> | <input type="checkbox"/> Patent Application |
| <input type="checkbox"/> Fee Transmittal | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam |
| <input type="checkbox"/> Maintenance Fee Payment | <input type="checkbox"/> Reissue <input type="checkbox"/> Design |
| <input type="checkbox"/> PTOL-85B Issue Fee | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP |
| <input type="checkbox"/> Letter to Draftsman (in trip.) | _____ pages (w/abstract) |
| <input type="checkbox"/> Design Patent Application Transmittal | _____ claims _____ independent |
| <input type="checkbox"/> Utility Patent Application Transmittal | _____ sheets of drawings |
| <input type="checkbox"/> Provisional Application Cover Sheet | _____ figures |
| <input type="checkbox"/> Continued Prosecution Application | <input type="checkbox"/> I.D.S. form PTO-1449 & |
| (CPA) Request Transmittal | References <input type="checkbox"/> as attached |
| <input type="checkbox"/> Request for Continued Examination (RCE) including submission | <input type="checkbox"/> as listed on reverse |
| <input checked="" type="checkbox"/> Cover Letter | <input type="checkbox"/> One self-addressed postcard |
| <input checked="" type="checkbox"/> Check No. 34778 for \$ 65.00 | <input type="checkbox"/> Certification under 1.97(e) |
| <input type="checkbox"/> Rule 53b and 53f Letter for Unexecuted Application | <input type="checkbox"/> Certificate of Mailing (C-O-M) |
| <input type="checkbox"/> Rule 53b and 53f Letter for Unexecuted Application | <input type="checkbox"/> Returned Envelope |

(1) Copy of Form PCT/DC/EO/905 (NFMK)

In the matter of : THEFT PREVENTION DEVICE FOR INFORMATION-STORE DISK

Applicant : Jae-Yeon AHN

60 Rec'd PCT/PTO 23 OCT 2000

Application No. 09/622,904

Filed : 9/05/2000

Patent No. :

Issued :

Docket : P19945

BHB

REC'D
OCT 17 2002
GROUP 360L



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/622,904	10/23/2001	3627	524	P19945		14	4

Should be 10/23/2000

7055
GREENBLUM & BERNSTEIN, P.L.C.
1941 ROLAND CLARKE PLACE
RESTON, VA 20191

CONFIRMATION NO. 5016

FILING RECEIPT



OC00000008172306

Date Mailed: 05/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jae-Yeon Ahn, Seoul, KOREA, REPUBLIC OF;

RECEIVED

JUN 10 2002

GREENBLUM & BERNSTEIN PLC

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/KR99/00100 03/04/1999

Foreign Applications

REPUBLIC OF KOREA 1998-7341 03/05/1998
REPUBLIC OF KOREA 1998-26475 07/01/1998

If Required, Foreign Filing License Granted 05/22/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Theft prevention device for information-stored disk

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Preliminary Class

070

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/622,904	Jae-Yeon Ahn	P19945

INTERNATIONAL APPLICATION NO.	
PCT/KR99/00100	
I.A. FILING DATE	PRIORITY DATE
03/04/1999	03/05/1998

7055
 GREENBLUM & BERNSTEIN, P.L.C.
 1941 ROLAND CLARKE PLACE
 RESTON, VA 20191

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JUN 10 2002

GREENBLUM & BERNSTEIN, P.L.C.

Date Mailed: 05/30/2002

CONFIRMATION NO. 5016
 371 ACCEPTANCE LETTER



OC00000008172307

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

should be 10/23/2000

10/23/2001

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
 (c)(4) REQUIREMENTS

should be 10/23/2000

10/23/2001

DATE OF RECEIPT OF ALL 35 U.S.C.
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

- Small Entity Statement

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



16 MAY 2002

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bruce H. Bernstein
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1941 Roland Clarke Place
Reston, VA 20191

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MAY 20 2002
GREENBLUM & BERNSTEIN

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OCT 17 2002
GROUP 3600

In re Application of
AHN, Jae Yeon
U.S. Application No.: 09/622,904
PCT No.: PCT/KR99/00100
International Filing Date: 04 March 1999
Priority Date: 05 March 1998
Attorney's Docket No.: P19945.A04
For: THEFT PREVENTION DEVICE FOR
INFORMATION-STORED DISK

DECISION ON PETITION
UNDER 37 CFR 1.181

This decision is issued in response to applicant's "Request For Withdrawal Of The Holding Of Abandonment" filed on 21 September 2001. No petition fee is required.

BACKGROUND

On 04 March 1999, applicant filed international application PCT/KR99/00100 which claimed a priority date of 05 March 1998 and which designated the United States. On 10 September 1999, a copy of the international application was communicated to the United States Patent And Trademark Office ("USPTO") by the International Bureau ("IB").

On 27 September 1999, a Demand was filed with the International Preliminary Examining Authority electing the United States. The election was made prior to the expiration of nineteen months from the priority date. As a result, the deadline for payment of the basic national fee was extended to expire thirty months from the priority date, i.e., 05 September 2000.

On 05 September 2000, applicant filed a transmittal letter for entry into the national stage in the United States accompanied by, among other materials, payment of the basic national fee and a translation of the international application into English.

On 18 October 2000, the United States Designated/Elected Office (DO/EO/US) mailed a Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497 and a surcharge for providing the oath or declaration later than 30 months from the priority date were required. The Notification also informed applicant that the failure to submit these materials within one month of the date of the Notification would result in abandonment of the application.

On 12 September 2001, the DO/EO/US mailed a Notification Of Abandonment (Form PCT/DO/EO/909) indicating that the application was abandoned with respect to the United States for failure to respond to the Notification Of Missing Requirements.

On 21 September 2001, applicant filed the "Request For Withdrawal Of The Holding Of Abandonment" considered herein as a petition under 37 CFR 1.181. The petition asserts that, on 23 October 2000, applicant filed a timely response to the Notification of Missing Requirements that included an executed declaration and the required surcharge. Among the materials attached to the present petition is a copy of the previously filed declaration and a copy of a return postcard that specifically identifies the present application, itemizes the declaration and surcharge payment, and bears a PCT/PTO receipt stamp dated 23 October 2000.

DISCUSSION

Based on applicant's statements, the stamped return postcard, and internal USPTO records which confirm receipt of the \$65 small entity surcharge payment on 23 October 2000, it is concluded that the response to the Notification Of Missing Requirements attached to the present petition, including the executed declaration and the surcharge payment, were originally filed on 23 October 2000 as a timely response to the Notification Of Missing Requirements mailed 18 October 2000. Accordingly, the Notification Of Abandonment mailed on 12 September 2001, based as it was on applicant's purported failure to file a timely response to the Notification Of Missing Requirements, is appropriately vacated.

A review of the declaration filed on 23 October 2000 reveals that it is in compliance with 37 CFR 1.497.

CONCLUSION

Applicant's petition under 37 CFR 1.181 is **GRANTED**.

The Notification Of Abandonment (Form PCT/DO/EO/909) mailed on 12 September 2001 is hereby **VACATED**.

The executed declaration attached to the present petition and the surcharge payment are treated as having been originally filed on 23 October 2000

This application is being forwarded to the National Stage Processing Branch of the Office of PCT Operations for further processing in accordance with this decision. The 35 U.S.C. 371 date is 23 October 2000.



Richard M. Ross
PCT Petitions Attorney
PCT Legal Office
Telephone: (703) 308-6155
Facsimile: (703) 308-6459

LAW OFFICES
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WILLIAM S. BOSHNICK*
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BRANKO PEJIC*
JESSICA H. TRAN*
JOHN PRETA*
HONG J. XU*
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MICHAEL D. NORNBERG*
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*ADMITTED TO A BAR OTHER THAN VA

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PAUL T. LEE

PATENT AGENT:
ABRAHAM HERSHKOVITZ

TECHNICAL ADVISOR:
TU ANN PHAN, PH.D.
JOHN MAZZOLA

Cathy Short

FACSIMILE COVER LETTER

From: GREENBLUM & BERNSTEIN, P.L.C.

Date: March 20, 2002

To: Office of OIPE

Attn: Shirley Steel

File No.: P19945 U.S. S N. 09/622,904

Telefax Number: 703-305-9822

TOTAL NUMBER OF PAGES, INCLUDING COVER LETTER: 4

IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CONTACT US:

TELEPHONE: (703) 716-1191 ext 1136

FAX: (703) 716-1180

*******CONFIDENTIALITY NOTE*******

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Here is the information that you requested. Please review it and let me know the outcome of this case at your earliest convenience.

Thank You, Kali

P19945.A04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jae Yeon AHN

PCT

Serial No : 09/622,904

Office of Initial Patent Examination

Filed : September 5, 2000

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

REQUEST FOR WITHDRAWAL OF THE HOLDING OF ABANDONMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to the Notice of Abandonment issued on September 12, 2001, for failure to file an oath or declaration complying with 37 C.F.R. § 1.497(a) and (b) and a surcharge for providing the oath or declaration as required in the NOTIFICATION OF MISSING REQUIREMENTS dated October 18, 2000 (Applicant notes that the Notice of Abandonment erroneously states that the NOTIFICATION OF MISSING REQUIREMENTS was mailed on September 20, 2000), Applicant respectfully points out that the declaration and surcharge were filed on October 23, 2000.

Applicant encloses herewith copies of the Cover Letter, the executed declaration, the NOTIFICATION OF MISSING REQUIREMENTS, and the check as payment of the surcharge for late filing of the declaration, accompanied by the date-stamped mailroom receipt evidencing the filing of these documents on October 23, 2000. Based on the timely filing of the declaration and surcharge, the Holding of Abandonment is improper and should

P19945.A04

be withdrawn.

In view of the foregoing, it is respectfully requested that the USPTO withdraw the Holding of Abandonment and consider the declaration as filed on October 23, 2000. If there are any questions, please contact the undersigned at the below listed telephone number.

Respectfully submitted,
Jae Yeon AHN

Bruce H. Bernstein
Reg. No. 29,027

September 20, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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GREENBLUM & BERNSTEIN, P.C.
INTELLECTUAL PROPERTY AGENTS
2001 BELMONT LANE
RESTON, VA 20191
(703) 716-1191

9/21/2001

DATE IN



The Patent Office date stamp herein is an acknowledgement that, on the date indicated, the Patent Office received the following:

(X) Request For Withdrawal of Holding of Abandonment

- | | |
|--|---|
| <ul style="list-style-type: none"> <input type="checkbox"/> Amendment <input type="checkbox"/> Executed Assignment and cover letter <ul style="list-style-type: none"> <input type="checkbox"/> by facsimile <input type="checkbox"/> Executed S.P.S. <input type="checkbox"/> S.E.S. Assertion <input type="checkbox"/> Req. for Ext. of Time <input type="checkbox"/> Fee Filing <ul style="list-style-type: none"> Recording <input type="checkbox"/> Issue <input type="checkbox"/> Extension <input type="checkbox"/> <input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Maintenance Fee Payment <input type="checkbox"/> PTO-856 Issue Fee <input type="checkbox"/> Letter to Draftsman <input type="checkbox"/> Design Patent Application Transmittal <input type="checkbox"/> Utility Patent Application Transmittal <input type="checkbox"/> Provisional Application Cover Sheet <input type="checkbox"/> Continued Prosecution Application (CPA) Request Transmittal <input type="checkbox"/> Request for Continued Examination (RCE) including Submission <input checked="" type="checkbox"/> Cover Letter (copy) <input checked="" type="checkbox"/> Check No. 34778 for \$ 65.00 (copy) <input type="checkbox"/> Rule 55b and 55f Letter for Unexecuted Application | <ul style="list-style-type: none"> <input type="checkbox"/> Claim of Priority or Certified Copy of <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Supplemental <ul style="list-style-type: none"> <input type="checkbox"/> New <input type="checkbox"/> Unexecuted <input checked="" type="checkbox"/> Executed by facsimile <input type="checkbox"/> Transmittal Letter <input type="checkbox"/> Patent Application <ul style="list-style-type: none"> <input type="checkbox"/> Provisional <input type="checkbox"/> Regular <input type="checkbox"/> Reissue <input type="checkbox"/> Design <input type="checkbox"/> Continuation <input type="checkbox"/> CIP _____ pages (w/abstract) _____ claims _____ independent _____ sheets of drawings _____ figures <input type="checkbox"/> U.S. form PTO-1449 & References <input type="checkbox"/> as attached <input type="checkbox"/> as listed on reverse <input type="checkbox"/> One self-addressed postcard <input type="checkbox"/> Certification under 37.C. <input type="checkbox"/> Certificate of Mailing (C-O-M) <input type="checkbox"/> Returned Envelope |
|--|---|

(X) Copy of Date-Stamped Mailroom receipt

(X) Copy of Notice of Missing Requirements

In the matter of: THEFT PREVENTION DEVICE FOR INFORMATION-STORE DISK

Applicant: Lee-Yeon Ahn

Application No.: 09/622,204

Agent No:

Filed: 9/05/2000

Issued:

Under: 35 USC 101

WTH